TII, Abu Dhabi, UAE 20 November 2024



Deep Thermalization and Generalized Maximum Entropy Principles



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[WWH, S. Choi, PRL 128, 060621 (2022)] [Ippoliti and WWH, PRX Q 4, 030322 (2023)] [Ippoliti and WWH, Quantum 6, 886 (2022)] [Shrotriya and WWH, arXiv:2305.08437] [Liu, Huang and WWH, arXiv: 2405.05470] [Chang, Shrotriya, WWH, Ippoliti, 2408.15325]



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What sorts of universal structures are contained within generic quantum many-body states?



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To fix thoughts, consider the nonequilibrium setting of quantum quenches beginning from a simple product state:



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To fix thoughts, consider the nonequilibrium setting of quantum quenches beginning from a simple product state:



Entanglement and magic are being generated over time; how do they affect the resulting universality (if any), and how quickly is this achieved?

Quantum Thermalization



 $\rho_A(t) = \text{Tr}_B(|\Psi_t\rangle\langle\Psi_t|)$

Quantum Thermalization



Q. chaotic Hamiltonian/ circuit evolution



Universality: $\lim_{t\to\infty} \rho_A(t) \to \propto e^{-\beta H_A}$ (Gibbs state)

Quantum Thermalization



Beyond quantum thermalization?

Examine assumptions of framework:



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$$\rho_A = T_B(|\Psi\rangle\langle\Psi|)$$
 Reduced density matrix

- Bath is assumed inaccessible! \leftrightarrow
- Access only to local observables $\langle O_{\!A} \rangle$

Beyond quantum thermalization?

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- Access only to local observables $\langle O_{\!A}
 angle$

Quantum Simulators allow us to probe beyond this framework:



Microscopically-resolved global measurements Access beyond local observables!



Quantum simulator







[Lukin group, Harvard]



Quantum simulator

A B



Quantum simulator

B

 $\langle Z_1 \rangle \approx \frac{1}{M} \sum_i \vec{z}_1^{(i)}$

 \boldsymbol{A}



• Access to **conditional** local quantum observables:

 $\langle O_A \rangle_{z_B}$:= Expectation value of O_A conditioned upon observing state z_B



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Hybrid **quantum-classical** observable, beyond the reduced density matrix Theoretical framework to capture such quantities?

Projected ensemble

[PRX Quantum 4 (1), 010311 (2023), Nature 613 7944 (2023)]



Projected ensemble

Collection of probabilities + pure quantum states

$$\mathscr{E}_A = \left\{ p(z_B), \left| \psi_A(z_B) \right\rangle \right\}$$

equivalently

$$\rho_{QC} = \sum p(z_B) |\psi_A(z_B)\rangle \langle \psi_A(z_B)| \otimes |z_B\rangle \langle z_B|$$

 Z_{B}

Quantum system

Classical measurement

Understanding the projected ensemble



Distribution over Hilbert space

 $\rho_A \mapsto \{p(\psi), |\psi\rangle\}$

Physically motivated unraveling of density matrix

Fundamental questions

1. Does the projected ensemble tend to a universal limiting distribution in quantum dynamics?

$$\lim_{t\to\infty} \mathscr{C}_A \xrightarrow{?} \mathscr{C}_A^*$$

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This talk: Deep thermalization, symmetries, and quantum information theoretic principles

Projected ensemble as a quantum communications protocol

 $|\Psi\rangle \rightarrow \mathscr{C}_A = \{p(z_B), |\psi_A(z_B)\rangle\}$

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Q: How much information is extractable?



• Alice measures with POVM $\{M_i\}$ and tries to ascertain z_B from measurement outcome m_i



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- Prior to measuring, Alice's prior belief is that z_B is sent with probability $p(z_B)$. Entropy is $H(\mathscr{C}) = -\sum p(z_B) \log p(z_B)$
- After measuring, Alice has posterior belief $p(z_B | m_i) = p(z_B, m_i)/p(m_i)$. Average entropy is $H(\mathscr{E} | M) = -\sum_i p(m_i) \sum_{z_B} p(z_B | m_i) \log p(z_B | m_i)$
- Averaged information gain is $I(\mathscr{C}: M) = H(\mathscr{C}) H(\mathscr{C}|M)$



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• Accessible information of ensemble: $I_{acc}(\mathscr{C}) := \sup_{M \in POVM} I(\mathscr{C}:M)$ "Maximal classical information extractable from quantum ensemble"

Q: What should we expect the accessible information of the projected ensemble to be?

Jozsa, Robbs, Wootters '94

 $Q(\rho) = -\sum_{k=1}^{n} \left(\prod_{l \neq k} \frac{\lambda_k}{\lambda_k - \lambda_l} \right) \lambda_k \ln \lambda_k \qquad Q(\rho) \leq I_{acc}(\mathscr{E}) \leq S(\rho) \qquad \begin{array}{c} \text{Holevo bound: Von} \\ \text{Neumann entropy} \\ S(\rho) = -\sum_{k=1}^{n} \lambda_k \ln \lambda_k \end{array}$

Holevo bound: Von

$$S(
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bound: subentropy $Q(\rho) = -\sum_{k=1}^{n} \left(\prod_{l \neq k} \frac{\lambda_k}{\lambda_k - \lambda_l} \right) \lambda_k \ln \lambda_k$ $Q(\rho) \leq I_{acc}(\mathscr{E}) \leq S(\rho)$ Neumann entropy $S(\rho) = -\sum_{k=1}^{n} \lambda_k \ln \lambda_k$

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Our intuition: if nature is scrambling, we expect minimal information transmission! I.e., nature is a lousy quantum communications channel = **"Deep thermalization"**

Generalized maximum entropy principle for deep thermalization = Principle of minimal accessible information

[C Liu, QC Huang, WWH, arXiv: 2405.05470], Also [Mark et al, arXiv: 2403.11970]

$$\begin{split} \mathscr{C}^* &= \arg\max_{\mathscr{C}} S(\mathscr{C}) \quad \text{s.t.} \quad \mathsf{Mean}(\mathscr{C}) = \rho_A \\ (\text{First moment known from} \\ S(\mathscr{C}) &:= -I_{acc}(\mathscr{C}) \\ \end{split}$$

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 For quantum spin systems, this limiting ensemble was derived by Jozsa, Robbs, Wootters [PRA '94], and is known as the Scrooge ensemble



Unraveling of ρ_A into an ensemble of pure states which yields the least information (i.e. most stingy)
Scrooge ensemble $\mathscr{C}_{Scr.}(\rho)$

- 1. Let $|\psi\rangle$ be a normalized state.
- 2. Distort state $|\psi\rangle \rightarrow \sqrt{\rho} |\psi\rangle$ ("rho-distortion") and define normalized state $|\Psi(\psi)\rangle := \sqrt{\rho} |\psi\rangle / \sqrt{\langle \psi | \rho | \psi \rangle}$
- 3. Sample $|\Psi(\psi)\rangle$ with probability density $p(\psi)d\psi = D\langle \psi | \rho | \psi \rangle d\psi$ where $d\psi$ is the uniform Haar measure and *D* the dimension of the Hilbert space

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$$\mathscr{C}_{Scr.}(\rho) = \left\{ d\psi \underbrace{D\langle \psi | \rho | \psi \rangle}_{p(\psi)}, \underbrace{\frac{\sqrt{\rho} | \psi \rangle}{\langle \psi | \rho | \psi \rangle}}_{|\Psi(\psi) \rangle} \right\} \quad (\clubsuit)$$

(Note: $\mathscr{C}_{Scr.}(\mathbb{I}/d) = \mathscr{C}_{Haar}$ i.e. uniform or Haar ensemble)









Previous studies have been confined to spin (and fermion) systems, with local bounded Hilbert space.

Q: Do the deep thermalization universality and generalized maximum entropy principle apply in a physically distinct system, e.g. systems of many bosons?

Continuous-variable system = Unbounded Hilbert space \implies no notion of Haar random states to 'distort' to construct Scrooge ensemble

(For the experts: no "2-designs" [losue, Sharma, Gullans, Albert, PRXQ 14,011013 (2024)])

Projected state is also a Gaussian state



Gaussian measurement σ_B yields outcome \mathbf{r}_B

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Rigorous proof for random Gaussian states with mean u

Numerical evidence in brickwork circuit models

Limiting ensemble has **minimal accessible information** which we call "Gaussian Scrooge Distribution" (analog of Scrooge in spin systems but for Gaussian CV systems) c.f. [Holevo, J. Math. Phys. 62, 092201 (2021)]

- Scrooge ensemble was predicated upon dynamics maximally hiding information
- But, measurements in symmetry-respecting basis can extract more information than measurements in symmetry non-respecting basis, e.g. think of charge

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Q: How do symmetries change the universal limiting distribution?

- Scrooge ensemble was predicated upon dynamics maximally hiding information
- But, measurements in symmetry-respecting basis can extract more information than measurements in symmetry non-respecting basis, e.g. think of charge
- Leads to competition between:



• Also, have to account for charge fluctuations in initial state!

[Chang, Shrotriya, WWH, Ippoliti, 2408.15325] Also [Mark et al, arXiv: 2403.11970]

Measurement basis matters!

To be concrete, consider a local on-site symmetry [Q, U] = 0, e.g. $Q = \sum_{i} Z_{i}$. Then a global state can be written $|\Psi\rangle = \sum_{i} p(Q) |\Phi_{Q}\rangle$

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Charge non-revealing, e.g. \{ |x \rangle \}
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 $\mathscr{C}_{PE} \to \mathscr{C}_{Scr.}(\rho_A)$ (Normal) Scrooge ensemble

• Assuming $p(Q) = \delta_{Q,Q_0}$

• When $Q_0 = N/2$, reduces to Haar

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$$\begin{aligned} & \textbf{Charge revealing } \{ |z \rangle \} \\ & \mathscr{C}_{PE} \rightarrow \sum_{Q_B} \pi(Q_B) \mathscr{C}_{Scr.}(\rho_A(Q_B)) \\ & \textbf{Generalized Scrooge ensemble} \end{aligned}$$

- Convex sum of Scrooges, depending on charge measured Q_B
- Represents stingy unraveling up to Bayesian update of belief of state on A
- Universal: only depending on charge distribution p(Q)



Random, charge-conserving U(1) circuits Initial product state: $|\Psi(0)\rangle = [(\cos\theta|0\rangle + \sin\theta|1\rangle) \otimes (\sin\theta|0\rangle + \cos\theta|1\rangle)]^{\otimes \frac{N}{2}}$ Charge fluctuations: $\langle \Psi(0)|\hat{Q}^2|\Psi(0)\rangle - \langle \Psi(0)|\hat{Q}|\Psi(0)\rangle^2 = \frac{N}{4}\sin^2(2\theta)$



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Compute trace distance of k = 2 moment between ensembles

$$\rho_{\mathcal{E}}^{(k)} = \mathbb{E}_{\phi \sim \mathcal{E}}(|\phi\rangle \langle \phi|)^{\otimes k}$$

All k-point correlations of an ensemble

$$\Delta^{(k)}(\mathcal{E}, \mathcal{E}') := \frac{1}{2} \left\| \rho_{\mathcal{E}}^{(k)} - \rho_{\mathcal{E}'}^{(k)} \right\|_{1}$$

Trace distance = Optimal distinguishability with k-copies of state between ensembles



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Summary of current understanding of deep thermalization

Constraints/ Conservation law	Charge distribution in initial state	Measurements in charge revealing basis	Measurements in charge non-revealing basis
None e.g. Floquet, circuits	N/A	N/A	\mathscr{E}_{Haar}
U(1) charge	None; $Q = N/2$ None; $Q \neq N/2$ Equilibrium; $\beta \mu = 0$ Equilibrium; $\beta \mu \neq 0$ General	$ \begin{array}{c} \bigoplus_{Q_A} p(Q_A) \mathscr{C}_{Haar}(Q_A) \\ \bigoplus_{Q_A} p(Q_A) \mathscr{C}_{Haar}(Q_A) \\ & \mathscr{C}_{Haar} \\ & \mathscr{C}_{Scr.} \\ & \mathscr{C}_{Gen. \ Scr.} \ \text{depending on } p(Q) \end{array} $	E _{Haar} E _{Scr.} E _{Haar} (Numerical) ? ?
Energy	Product state (Gaussian) at $\beta = 0$ Product state (Gaussian) at $\beta \neq 0$	$\mathscr{C}_{Gen. \ Scr.} \approx \bigoplus_{E_A} p(E_A) \mathscr{C}_{Haar}(E_A)$ $\mathscr{C}_{Generalized. \ Scr.}$	E _{Haar} E _{Scr.}
		Measurements in any Gaussian basis	Measurements in Fock basis
Gaussian, U(1)	Squeezed product state with mean number ν	EBos. Gaus. Scr.	?
Non-Gaussian, General	?	?	?

Spins/Fermions

Bosonic CV

Conclusions

- Novel equilibration universality (Deep Thermalization) beyond standard quantum thermalization
- Underpinned by Generalized Maximum Entropy Principles from quantum information theory
- Rich host of emergent universal limiting ensembles constrained by symmetries



Take-home message:

New paradigms in quantum many-body dynamics from quantum information theory!



Questions: Time-scales of deep thermalization; how is this related to entanglement and magic generation? Connections to OTOCs, quantum error correction? Applications for quantum information science?

Thank you for your attention!

Conclusions

Search...

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Quantum Physics

[Submitted on 15 Feb 2024]

Extracting randomness from quantum 'magic'

Christopher Vairogs, Bin Yan

Magic is a critical property of quantum states that plays a pivotal role in fault-tolerant quantum computation. Simultaneously, random states have emerged as a key element in various randomized techniques within contemporary quantum science. In this study, we establish a direct connection between these two notions. More specifically, our research demonstrates that when a subsystem of a quantum state is measured, the resultant projected ensemble of the unmeasured subsystem can exhibit a high degree of randomness that is enhanced by the inherent 'magic' of the underlying state. We demonstrate this relationship rigorously for quantum state 2-designs, and present compelling numerical evidence to support its validity for higher-order quantum designs. Our findings suggest an efficient approach for leveraging magic as a resource to generate random quantum states.

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Learning the Fine Structure of Quantum Dynamics in Programmable Quantum Matter

Coordinators: Wen Wei Ho, Matteo Ippoliti, Joaquin Rodriguez Nieva, and Romain Vasseur

Scientific Advisors: Ehud Altman, David Huse, Monika Schleier-Smith, and Peter Zoller

Rapid advances in analog quantum simulators and digital quantum computers have opened up novel ways to control and interrogate quantum many-body systems. Such capabilities allow for the exploration of previously inaccessible dynamical regimes–like dynamics in the presence of monitoring and feedback–as well as to furnish new tools to learn features of complex quantum states and processes. New questions arise as to the novel universal phenomena that can be found in these new dynamical regimes, and about the optimal strategies and fundamental limitations of extracting information from such quantum systems.

This program has three main goals: (i) to chart the landscape of quantum dynamics in programmable and interactive quantum matter, (ii) to identify fundamental and practical limits on learning from quantum experiments, and (iii) to apply these ideas toward long-standing foundational questions of statistical mechanics such as chaos, ergodicity, and thermalization. The program aims to make progress on these interdisciplinary research frontiers by bringing together the communities of quantum condensed matter physics, quantum information theory, statistical physics, and atomic-molecular-optical physics.



DATES

Sep 29, 2025 - Oct 30, 2025

INFORMATION

Apply

Application deadline is: Nov 24, 2024. Primary deadline above date.

Rolling admissions after until the program is filled.

FAMILY SUPPORT INFO

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• Assume initial state is random but has definite charge Q_0



• Measure *B* in the computational basis *z "charge revealing basis"*

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- This immediately tells us $|\psi_A(z_B)\rangle$ has charge $Q_A = Q Q_B$
- We thus expect limiting projected ensemble to block-diagonal (direct-sum):

$$\mathcal{E}_{PE} \to \bigoplus_{Q_A} p(Q_A) \mathcal{E}_{Haar,A}(Q_A)$$

We rigorously prove this statement in our paper

• Assume dynamics conserves charge [U, Q] = 0, $Q = \sum \sigma_i^z$

• Assume initial state is random but has definite charge Q_0



• Measure *B* in the computational basis *x "charge non-revealing basis"*

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Q: General theory incorporating charge fluctuations?

(Based on calculations using replica trick)

Let the initial state have charge fluctuations p(Q), i.e., $|\Psi\rangle = \sum p(Q) |\Phi_Q\rangle$

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• Measure in a charge revealing basis (e.g. *z*), to get z_B which carries charge Q_B . This occurs with probability $\pi(Q_B) = \sum_{Q} \pi(Q_B | Q) p(Q) = \pi(Q_B | Q) = \binom{N_A}{Q_A} \binom{N_B}{Q_B} \binom{N}{Q}^{-1}$

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• Limiting distribution will be a convex sum over Scrooges (generalized Scrooge):

$$\mathscr{C}_{PE} \rightarrow \sum_{Q_B} \pi(Q_B) \mathscr{C}_{Scr.}(\rho_A(Q_B))$$

Note: it is universal, depending only on $p(Q)$

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• Limiting distribution will be single Scrooge (stingy unraveling of ρ_A)

$$\mathscr{E}_{PE} \to \mathscr{E}_{Scr.}(\rho_A)$$

Note when $Q_0 = N/2$, $\mathscr{C}_{Scr.} \to \mathscr{C}_{Haar}$